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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,293	08/14/2001	Paul C. Denny	13761-7016

CONFIRMATION NO. 8209

FORMALITIES LETTER



OC000000006565091

Rajiv Yadav
McCutchen, Doyle, Brown & Emersen, LLP
18th Floor
Three Embarcadero Center
San Francisco, CA 94111

Date Mailed: 09/17/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

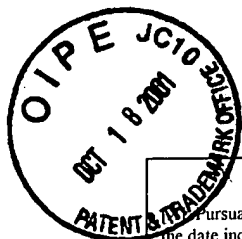
- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$918.
 - \$918 for 51 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1758.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/19/2001 HUJONG1 00000020 501192 09/29/2001
01 FC:205 65.00 OP
02 FC:201 370.00 OP
03 FC:203 444.00 OP
15.00 CH



JA

Docket No. 13762-7016

Sector

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ at _____ a.m./p.m.

Dated: October 15, 2001

Name of Person Certifying: _____

Printed Name: GRETA CLARK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Denny; Navazesh; Denny

Assignee: University of Southern California

Filing Date: 08/14/2001

Examiner: Unassigned

Serial No.: 09/929,293

Group Art Unit: 1645

Title: **SALIVA-BASED METHODS FOR PREVENTING AND ASSESSING THE RISK OF DISEASES**

BOX MISSING PARTS

Assistant Commissioner for Patents

Washington, D.C. 20231

Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on September 17, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [] page(s)
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (___ months), [] page(s) Fee \$ _____
- ☒ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Application dated September 17, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☒ Return Postcard.

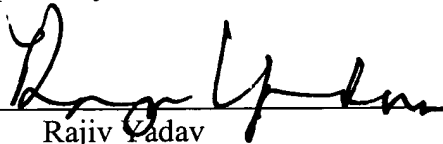
TOTAL FEES: \$879.00

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☒ Check in the amount of \$879.00, Check No. 031265 to cover the Total Fees due is enclosed.
- ☐ Please charge the above fee(s) to Deposit Account No. _____, Docket No. _____, in the amount of \$_____ to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☒ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1192, Docket No. 13762-7016. *A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: October 15, 2001

Respectfully submitted,

By: 
Rajiv Yadav
Registration No. 43,999

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